

ISO 27001:2022 · STAGE 2

Stage 2 Evidence Checklist

Certification Audit under ISO 27001:2022 — 60-point check

VERSION

1.0

DATE

April 19, 2026

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How to Fill In This Checklist

This checklist structures your Stage 2 preparation (certification audit) under ISO 27001:2022. Stage 2 verifies the operational implementation of your ISMS through sampling, interviews, and technical verification. Each row represents an evidence artifact that the auditor will typically review.

Legend

1. Status: Ready = evidence is complete; Gap = evidence missing or incomplete; N/A = not applicable to our scope
2. Evidence Location: path, ticket ID, or URL to the evidence
3. Categories map to the four Annex A theme areas plus audit logistics

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A.5 — Organisational Controls

û	Control	Evidence	Status	Evidence Location
<input type="checkbox"/>	A.5.1	Information security policies published and acknowledgement recorded	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.2	Role matrix with ISMS responsibilities assigned	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.3	Evidence of segregation of duties (SoD matrix, recertifications)	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.4	Top management commitment statement and review cycles	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.7	Threat intelligence sources documented, reports archived	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.8	Project management guideline with security gates for projects	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.9	Asset inventory complete, with owner, classification, and review date	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.10	Acceptable-use policy signed by all employees	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.12	Information classification scheme documented and applied	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.15	Access control matrix current, recertification logs available	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.16	Identity management process with onboarding and offboarding logs	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.17	MFA enabled, exception list documented and justified	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.18	Access rights reviewed regularly, review minutes available	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.19	Supplier register complete, criticality rated	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.20	Security clauses in all supplier contracts documented	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.21	ICT supply chain risk assessment available	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.22	Supplier audits performed and minuted	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.23	Cloud security policy and list of approved cloud services	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.24	Incident response plan with escalation chain and contacts	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.26	Incident log with detection, response, and lessons learned	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.29	Disruption planning: fallback concepts for critical processes	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.30	BCM / DR test performed, protocol and lessons learned archived	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.31	Register of regulatory and contractual requirements	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.34	GDPR processes: DPIA, processor contracts, data subject rights	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.35	Evidence of independent ISMS review (internal audit)	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	
<input type="checkbox"/>	A.5.37	Operating procedures documented, versioned, accessible	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	

A.6 — People Controls

û	Control	Evidence	Status	Evidence Location
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<input type="checkbox"/>	A.6.1	Background checks for new employees documented	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.6.2	Employment contracts with security obligations	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.6.3	Awareness training delivered, 100 percent participation recorded	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.6.4	Disciplinary process documented, application evidenced	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.6.5	Offboarding checklists with asset return and access revocation	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.6.6	NDA register current for all relevant people and suppliers	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.6.7	Remote work policy, technical safeguards verifiable	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.6.8	Reporting channels for security events set up and communicated	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>

A.7 — Physical Controls

<input type="checkbox"/>	Control	Evidence	Status	Evidence Location
<input type="checkbox"/>	A.7.1	Perimeter protection documented, entry points defined	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.2	Entry control with logging, visitor register in place	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.3	Protection of rooms with critical infrastructure	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.4	Physical monitoring (cameras, alarms) operational	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.5	Protection against fire, water, environment documented and tested	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.7	Clear-desk and clear-screen policy implemented	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.9	Protection concept for off-premises assets	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.10	Handling of removable media, encryption policy	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.7.14	Secure disposal evidence for hardware and media	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>

A.8 — Technological Controls

<input type="checkbox"/>	Control	Evidence	Status	Evidence Location
<input type="checkbox"/>	A.8.1	Endpoint management: MDM, encryption, antivirus active	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.2	Privileged accounts with dedicated admin IDs and MFA	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.5	Secure authentication (MFA, password policy) enforced everywhere	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.7	Malware protection centrally managed, alert logs available	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.8	Vulnerability scans over at least 6 months, remediation tracking	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.9	Configuration baselines for servers and endpoints	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.10	Secure deletion procedures for sensitive data documented	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.12	Data leakage prevention controls active and monitored	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>

<input type="checkbox"/>	A.8.13	Backup concept active, restore tests with protocol	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.15	Central logging with retention policy and access protection	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.16	Monitoring and SIEM alerts with documented use cases	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.20	Network segmentation and firewall policies documented	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.22	Separation of production, test, development technically enforced	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.24	Cryptography policy with approved algorithms	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.25	Secure SDLC with security gates in the development process	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.28	Secure coding guidelines, code review evidence	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.29	Security testing (SAST, DAST) as part of the pipeline	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.31	Separation of dev / test / prod enforced and verifiable	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.32	Change management history complete, with risk assessment	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	A.8.34	Protection of information systems during internal audits	<input type="checkbox"/> Ready	<input type="checkbox"/> Gap	<input type="checkbox"/> N/A	<input type="text"/>

Audit Interviews and Walk-Through

û	Control	Evidence	Status	Evidence Location
<input type="checkbox"/>	Host	Audit host designated (usually CISO or ISMS manager)	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	CISO	CISO available for questions on clauses 5, 6, 9, and 10	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	IT-Admin	IT administrator prepared for access and network topics	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	Dev	Engineering lead available for A.8.25 to A.8.28	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	HR	HR representative available for A.6 and background checks	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	Proc.	Procurement available for A.5.19 to A.5.22	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>
<input type="checkbox"/>	Walk	Pre-audit walk-through 1 week in advance with all interviewees	<input type="checkbox"/> Ready <input type="checkbox"/> Gap <input type="checkbox"/> N/A	<input type="text"/>